FIKREES (Pvt.) Ltd.

LIQUID CAPITAL STATEMENT

DATE: 30-Sep-2020

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Deposit with authorized intermediary against borrowed securities under SLB. Other deposits and prepayments 9,426,455 9,426,455 Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities etc.(Nil) 100% in respect of markup accrued on loans to directors, subsidiaries and other related parties Dividends receivables. Amounts receivable against Repo financing. Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.) i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months ii. Receivable other than trade receivables Receivable from Clearing House or Securities Exchange(s) i) 100% value of claims other than those on account of entitlements against trading of securities in all markets including MTM gains. ii) Receivable on entitlements against trading of securities in all markets including MTM gains.		4,026,362	, 4,026,362	
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Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities etc.(Nii) 100% in respect of markup accrued on loans to directors, subsidiaries and other related parties Dividends receivables. Amounts receivable against Repo financing. Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.) i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months ii. Receivables other than trade receivables Receivable from Clearing House or Securities Exchange(s) i) 100% value of claims other than those on account of entitlements against trading of securities in all markets including MTM gains. ii) Receivable on entitlements against trading of securities in all markets including MTM gains.	Deposit with authorized intermediary against borrowed securities under SLB.			-
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Amounts receivable against Repo financing. Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.) i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months ii. Receivables other than trade receivables Receivable from Clearing House or Securities Exchange(s) i) 100% value of claims other than those on account of entitlements against trading of securities in all markets including MTM gains. ii) Receivable on entitlements against trading of securities in all markets including MTM gains.	parties			
agreement. (Securities purchased under repo arrangement shall not be included in the investments.) i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months ii. Receivables other than trade receivables Receivable from Clearing House or Securities Exchange(s) i) 100% value of claims other than those on account of entitlements against trading of securities in all markets including MTM gains. ii) Receivable on entitlements against trading of securities in all markets including MTM gains.				* • •
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securities in all markets including MTM gains. ii) Receivable on entitlements against trading of securities in all markets including MTM gains.	Receivable from Clearing House or Securities Exchange(s)			
gains,		(6,802)	(6,802)	
Receivables from customers	gains.			
이 날이 하는 그 보기가 되었다는데 바로 들이지 위한 도시 보였다는 그는 것은	Receivables from customers			
		•	500	

	Head Of Account	Value In Pak Rupee	Hair Cut / Adjustments	Net Adjusted Value
1.17	i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VaR based haircut. i. Lower of net balance sheet value or value determined through adjustments.		0	0 ·
	ii. Incase receivables are against margin trading, 5% of the net balance sheet value. ii. Net amount after deducting haircut	7		
	iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting haricut			
	 iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value, iv. Balance sheet value 	0	0	0
	 v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VaR based haircuts. v. Lower of net balance sheet value or value determined through adjustments 	68,641	28,218	28,218
	vi. 100% haircut in the case of amount receivable form related parties.	34,121,274	34,121,274	
1.18	Cash and Bank balances			
	I. Bank Balance-Proprietory accounts	554		554
	ii. Bank balance-customer accounts	2,859,031		2,859,031
	iii. Cash in hand	0		0
		190,587,132	77,114,041	113,460,886
	Liabilities			
2.1	Trade Payables			
	i. Payable to Exchanges and Clearing house			
	ii. Payable against leveraged market products			- 1
	iii. Payable to customers	1,323,180		1,323,180
2.2	Current Liabilities	1,020,100		1,020,100
	i. Statutory and regulatory dues			
	ii. Accruals and other payables	101,419		404 440
	iii. Short-term borrowings	*	00 004 500	101,419
	iv. Current portion of subordinated loans	96,384,566	96,384,566	96,384,566
			*	
	v. Current portion of long term liabilities vi. Deferred Liabilities			
	1			
	vii. Provision for bad debts		Y : I I	
	viii. Provision for taxation			
	ix. Other liabilities as per accounting principles and included in the financial statements		. 4	
2.3	Non-Current Liabilities			
	i. Long-Term financing			
	a. Long-Term financing obtained from financial institution: Long term portion of financing obtained from a financial institution including amount due against finance lease		,	
	b. Other long-term financing			
	ii. Staff retirement benefits			
	iii. Advance against shares for Increase in Capital of Securities broker: 100% haircut may be allowed in respect of advance against shares if: a. The existing authorized share capital allows the proposed enhanced share capital b. Boad of Directors of the company has approved the increase in capital			\
	c. Relevant Regulatory approvals have been obtained d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed. e. Auditor is satisfied that such advance is against the increase of capital.			100
	iv. Other liabilities as per accounting principles and included in the financial statements		4.9	
2.4	Subordinated Loans			
	i. 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted: The Schedule III provides that 100% haircut will be allowed against subordinated Loans which fulfill the conditions specified by SECP. In this regard, following conditions are specified:		b	_\\\
	a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period		tensit .	
	b. No haircut will be allowed against short term portion which is repayable within next 12			
	b. No haircut will be allowed against short term portion which is repayable within next 12 months. c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be submitted to exchange.	VIEW FO		

	Head Of Account	Value In Pak Rupee	Hair Cut / Adjustments	Net Adjusted Value
7 4		97,809,165	96,384,566	97,809,165
3	Ranking Liabilities Related To			
3.1	Concentration in Margin Financing			
	The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees.			
3.2	Concentration in securites lending and borrowing			
	The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (li) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed			
3.3	Net underwriting Commitments	2. 1		
	(b) in any other case: 12.5% of the net underwriting commitments			
3.4	Negative equity of subsidiary			
	The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary	7.0		
3.5	Foreign exchange agreements and foreign currency positions			
	5% of the net position in foreign currency. Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency			
() ·	Amount Payable under REPO			
3.7	Repo adjustment			
	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securities. In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received less value of any securities deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.			
3.8	Concentrated proprietary positions			
	If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security			1
3.9	Opening Positions in futures and options			
	i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/ pledged with securities exchange after applyiong VAR Haircuts			
	ii. In case of proprietary positions , the total margin requirements in respect of open positions to the extent not already met			
3.10	Short sell positions			
	i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts			
	Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			

92,777,967

(19,270,525)

15,651,721

Printed On:

Report # 003007001002

Wednesday, October 14, 2020

1:57:22 pm

Printed By:

Admin